

**Part 2B of Form ADV: Firm Brochure Supplement
Bryce Coy**

Item 1 Cover Page

Legacy Financial Strategies, LLC (“Legacy”)

5400 College Blvd., Ste. A 5400 College Blvd., Ste.
A
Overland Park, KS 66211
Phone: (913) 403-0600
Fax: (913) 403-0700

Website: <http://www.legacykc.com>

Email Address:

Bryce Coy: bryce.coy@legacykc.com

Date: February 4, 2026

This brochure supplement provides information about Bryce Coy that supplements the Legacy Financial Strategies, LLC brochure. You should have received a copy of that brochure. Please contact us at (913) 403-0600 or one of the email addresses listed above if you did not receive Legacy Financial Strategies, LLC’s brochure or if you have any questions about the contents of this supplement.

Additional information about Bryce Coy also is available on the Securities and Exchange Commission’s website at www.adviserinfo.sec.gov.

Item 2 Educational Background and Business Experience

Formal Education and Business Background

Bryce Coy attended John Brown University from 2011 to 2013. He earned a Bachelor of Science degree in Aeronautical Technology from Kansas State University in 2015. Mr. Coy is a Financial Advisor for the firm. Before joining Legacy Financial Strategies, he worked for The Prudential Insurance Company of America as a Financial Professional from 2023 to 2024. He was a Registered Representative for Pruco Securities, LLC from 2022 to 2024 and was a staff employee for MassMutual Life Insurance Company as well as a Registered Sales Assistant for MML Investors Services, LLC from 2021 to 2022. Prior to that he was First Officer for Envoy Air from 2020 to 2021 and First Officer for flyExclusive during 2021. Mr. Coy was a Captain for Miller’s Professional Imaging from 2016 to 2019 and was First Officer for ExpressJet Airlines during 2016.

Bryce Coy’s birth year is 1992.

Certified Financial Planner™ (CFP®) Designation

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor’s Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board’s financial planning subject areas include insurance planning, financial management, investment planning, tax planning, retirement planning, and estate planning;
- Examination – Pass the comprehensive CFP® Certification Examination. The 6 hour examination includes case studies and client scenarios designed to test one’s ability to correctly diagnose financial planning issues and apply one’s knowledge of financial planning to real world circumstances;
- Experience – Complete at least 6,000 hours of professional planning experience (or 4,000 hours of apprenticeship experience); and
- Ethics – Agree to be bound by CFP Board’s Code of Ethics and Standards of Conduct, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education – Complete periodic continuing education coursework to maintain competence and keep up with developments in the financial planning field; and
- Ethics – Renew an agreement to be bound by the Code of Ethics and Standards of Conduct. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board’s enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

Item 3 Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding certain legal or disciplinary events that would be material to the evaluation of an investment adviser representative. Mr. Coy is not currently subject to, nor has ever been subject to, any legal or disciplinary events of this nature.

Item 4 Other Business Activities

Bryce Coy is Co-Owner/Partner in CSBC Real Estate, LLC, a real estate development rental and leasing business that provides initial capital to fund property purchases. He spends approximately 5 hours per month outside of trading hours on this activity.

Bryce Coy does not participate in any other financial industry activities and has no other financial industry affiliations.

Item 5 Additional Compensation

Incoming Referrals

Legacy has no solicitation agreements in place at this time. They do, however, accept client

and professional referrals on a non-compensatory basis.

Referrals Out

Legacy does not receive any compensation for any outside client referrals, including referrals made to estate planners, attorneys and/or tax preparation places.

Legacy Bonus Program

Bryce is eligible to participate in the firm's bonus program which provides incentive compensation and benefits to the firm's investment adviser representative based on the amount of new business generated by the representative. Bryce may also be compensated for performing advisory services on qualified retirement plans.

Other Compensation

Legacy and its employees may receive additional non-cash compensation from advisory product sponsors. Such compensation may not be tied to the sales of any products. Compensation may include such items as gifts valued at less than \$300 annually, an occasional dinner or ticket to a sporting event, or reimbursement in connection with educational meetings or marketing or advertising initiatives. Advisory product sponsors may also pay for education or training events that may be attended by Legacy's employees or its clients.

Item 6 Supervision

Bryce Coy is currently supervised by Mike Wren. Bethany Boschert is the firm's Chief Compliance Officer and is responsible for overseeing and enforcing the firm's regulatory compliance programs. Either may be contacted by phone at (913) 403-0600.

Part 2B of Form ADV: Firm Brochure Supplement
David Dwyer

Item 1 Cover Page

Legacy Financial Strategies, LLC (“Legacy”)

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Phone: (913) 403-0600
Fax: (913) 403-0700

Website: <http://www.legacykc.com>

Email Address:

David Dwyer: david.dwyer@legacykc.com

Date: February 4, 2026

This brochure supplement provides information about David Dwyer that supplements the Legacy Financial Strategies, LLC brochure. You should have received a copy of that brochure. Please contact us at (913) 403-0600 or one of the email addresses listed above if you did not receive Legacy Financial Strategies, LLC’s brochure or if you have any questions about the contents of this supplement.

Additional information about David Dwyer is also available on the Securities and Exchange Commission’s website at www.adviserinfo.sec.gov.

Item 2 Educational Background and Business Experience

Formal Education and Business Background

David Dwyer has a Bachelor’s of Arts in English degree from the University Kansas. Prior to joining Legacy, David worked for Ameriprise Financial Services, Inc. as an advisor where he provided asset management and financial planning services to clients. He joined First Investors Financial Services in 2005 as a registered representative, where he spent a number of years growing his client base and assisting in building the Overland Park, KS branch office.

David’s professional designations include Certified Financial Planner™ (CFP®), CRPC® (Chartered Retirement Planning Counselor), APMA® (Accredited Portfolio Management Advisor), and AAMS® (Accredited Asset Management Specialist).

David’s birth year is 1980.

Certified Financial Planner™ (CFP®) Designation

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the

competent and professional delivery of financial planning services, and attain a Bachelor's Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board's financial planning subject areas include insurance planning, financial management, investment planning, tax planning, retirement planning, and estate planning;

- Examination – Pass the comprehensive CFP® Certification Examination. The 6 hour examination includes case studies and client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real world circumstances;
- Experience – Complete at least 6,000 hours of professional planning experience (or 4,000 hours of apprenticeship experience) ; and
- Ethics – Agree to be bound by CFP Board's Code of Ethics and Standards of Conduct, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education – Complete periodic continuing education coursework to maintain competence and keep up with developments in the financial planning field; and
- Ethics – Renew an agreement to be bound by the Code of Ethics and Standards of Conduct. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

Chartered Retirement Planning Counselor (CRPC®) Designation

The CRPC is a professional designation awarded by the College for Financial Planning to individuals who complete a study program and pass a final multiple-choice examination. Successful applicants earn the right to use the CRPC® designation with their names for two years. Every two years, CRPC® professionals must complete 16 hours of continuing education and pay a small fee to continue using the designation. The CRPC® Program focuses on the pre- and post-retirement needs of individuals, and addresses issues such as estate planning and asset management. CRPC® candidates must comply with the Code of Ethics, which includes agreeing to abide by the Standards Exhibit A-3 of Professional Conduct and Terms and Conditions. Candidates must also disclose any criminal, civil, self-regulatory organization, or governmental agency inquiry, investigation, or proceeding relating to their professional or business conduct. Conferment of the designation is contingent upon the College for Financial Planning's review of matters either self-disclosed or which are discovered by the College that are required to be disclosed.

Accredited Portfolio Management Advisor (APMA®) Designation

Individuals who hold the APMA® designation have completed a course of study encompassing client assessment and suitability, risk/return, investment objectives, bond and equity portfolios, modern portfolio theory and investor psychology. Students have hands-on practice in analyzing investment policy statements, building portfolios, and making asset allocation decisions including sell, hold, and buy decisions within a client's portfolio. Additionally, individuals must pass an end-of-course examination that tests their ability to synthesize complex concepts and apply theoretical concepts to real-life situations. All designees have agreed to adhere to Standards of Professional Conduct and are subject to a disciplinary process.

Accredited Asset Management Specialist(AAMS) Designation

An Accredited Asset Management Specialist is an individual who has met the education, examination, experience, and ethics standards established by the College for Financial Planning. Individuals who hold the AAMS designation have completed a course of study encompassing investments, insurance, tax, retirement, and estate planning issues.

Additionally, individuals must pass an end-of-course examination that tests their ability to synthesize complex concepts and apply theoretical concepts to real-life situations. To maintain his Accredited Asset Management Specialist designation, Thomas is required every two-years to complete 16 hours of continuing education, reaffirm adherence to the Standards of Professional Conduct, and comply with self-disclosure requirements.

Item 3 Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding certain legal or disciplinary events that would be material to the evaluation of an investment adviser representative. Mr. Dwyer is not currently subject to, nor has ever been subject to, any legal or disciplinary events of this nature

Item 4 Other Business Activities

David Dwyer does not participate in any other financial industry activities and has no other financial industry affiliations

Item 5 Additional Compensation

Incoming Referrals

Legacy has no solicitation agreements in place at this time. They do however accept client and professional referrals on a non-compensatory basis.

Referrals Out

Legacy does not receive any compensation for any outside client referrals, including referrals made to estate planners, attorneys and/or tax preparation places.

Legacy Bonus Program

David is eligible to participate in the firm's bonus program which provides incentive compensation and benefits to the firm's investment adviser representative based on the amount of new business generated by the representative.

Other Compensation

Legacy and its employees may receive additional non-cash compensation from advisory product sponsors. Such compensation may not be tied to the sales of any products.

Compensation may include such items as gifts valued at less than \$300 annually, an occasional dinner or ticket to a sporting event, or reimbursement in connection with educational meetings or marketing or advertising initiatives. Advisory product sponsors may also pay for education or training events that may be attended by Legacy's employees or its clients.

Item 6 Supervision

David is currently supervised by Mike Wren. Bethany Boschert is the firm's Chief Compliance Officer and is responsible for overseeing and enforcing the firm's regulatory compliance programs. Either may be contacted by phone at (913) 403-0600.

Part 2B of Form ADV: Firm Brochure Supplement
Ellea M. Ediger

Item 1 Cover Page

Legacy Financial Strategies, LLC (“Legacy”)

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Email Address:

Ellea M. Ediger:

ellea.ediger@legacykc.com

Date: February 4, 2026

This brochure supplement provides information about Ellea M. Ediger that supplements the Legacy Financial Strategies, LLC brochure. You should have received a copy of that brochure. Please contact us at (913) 403-0600 or one of the email addresses listed above if you did not receive Legacy Financial Strategies, LLC’s brochure or if you have any questions about the contents of this supplement.

Additional information about Ellea M. Ediger also is available on the Securities and Exchange Commission’s website at www.adviserinfo.sec.gov.

Item 2 Educational Background and Business Experience

Formal Education and Business Background

Ellea M. Ediger graduated from Fort Hays State University in 2021 with Bachelor of Business Administration in Finance. She is an Investment Advisor Representative for the firm. Before joining Legacy Financial Strategies, she was a Full-time Associate of SJHL Financial Group, where she had previously been an Intern.

Ellea’s birth year is 1999.

Item 3 Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding certain legal or disciplinary events that would be material to the evaluation of an investment adviser representative. Ms. Ediger is not currently subject to, nor has ever been subject to, any legal or disciplinary events of this nature.

Item 4 Other Business Activities

Ellea M. Ediger does not participate in any other financial industry activities and has no other financial industry affiliations.

Item 5 Additional Compensation

Incoming Referrals

Legacy has no solicitation agreements in place at this time. They do however accept client and professional referrals on a non-compensatory basis.

Referrals Out

Legacy does not receive any compensation for any outside client referrals, including referrals made to estate planners, attorneys and/or tax preparation places.

Legacy Bonus Program

Ellea is eligible to participate in the firm's bonus program which provides incentive compensation and benefits to the firm's investment adviser representative based on the amount of new business generated by the representative. Ellea may also be compensated for performing advisory services on qualified retirement plans.

Other Compensation

Legacy and its employees may receive additional non-cash compensation from advisory product sponsors. Such compensation may not be tied to the sales of any products. Compensation may include such items as gifts valued at less than \$300 annually, an occasional dinner or ticket to a sporting event, or reimbursement in connection with educational meetings or marketing or advertising initiatives. Advisory product sponsors may also pay for education or training events that may be attended by Legacy's employees or its clients.

Item 6 Supervision

Ellea M. Ediger is currently supervised by Mike Wren. Bethany Boschert is the firm's Chief Compliance Officer and is responsible for overseeing and enforcing the firm's regulatory compliance programs. Either may be contacted by phone at (913) 403-0600.

Part 2B of Form ADV: Firm Brochure Supplement
Michelle L. Green

Item 1 Cover Page

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Website: <http://www.legacykc.com>

Email Address:

Michelle L. Green:

michelle.green@legacykc.com

Date: February 4, 2026

This brochure supplement provides information about Michelle L. Green that supplements the Legacy Financial Strategies, LLC brochure. You should have received a copy of that brochure. Please contact us at (913) 403-0600 or one of the email addresses listed above if you did not receive Legacy Financial Strategies, LLC’s brochure or if you have any questions about the contents of this supplement.

Additional information about Michelle L. Green also is available on the Securities and Exchange Commission’s website at www.adviserinfo.sec.gov.

Item 2 Educational Background and Business Experience

Formal Education and Business Background

Michelle L. Green earned a Bachelor of Arts degree in Business Administration with a concentration in Finance from the University of Washington Bothell in 2015. Ms. Green is Director of Financial Planning & a Financial Advisor for the firm. Before joining Legacy Financial Strategies, she worked for AMC Theaters from 2009 to 2023 with her last position being Director, Guest Experience.

Michelle L. Green’s birth year is 1989.

Item 3 Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding certain legal or disciplinary events that would be material to the evaluation of an investment adviser representative. Ms. Green is not currently subject to, nor has ever been subject to, any legal or disciplinary events of this nature.

Item 4 Other Business Activities

Michelle L. Green does not participate in any other financial industry activities and has no other financial industry affiliations.

Item 5 Additional Compensation

Incoming Referrals

Legacy has no solicitation agreements in place at this time. They do however accept client and professional referrals on a non-compensatory basis.

Referrals Out

Legacy does not receive any compensation for any outside client referrals, including referrals made to estate planners, attorneys and/or tax preparation places.

Legacy Bonus Program

Michelle is eligible to participate in the firm's bonus program which provides incentive compensation and benefits to the firm's investment adviser representative based on the amount of new business generated by the representative. Michelle may also be compensated for performing advisory services on qualified retirement plans.

Other Compensation

Legacy and its employees may receive additional non-cash compensation from advisory product sponsors. Such compensation may not be tied to the sales of any products. Compensation may include such items as gifts valued at less than \$300 annually, an occasional dinner or ticket to a sporting event, or reimbursement in connection with educational meetings or marketing or advertising initiatives. Advisory product sponsors may also pay for education or training events that may be attended by Legacy's employees or its clients.

Item 6 Supervision

Michelle L. Green is currently supervised by Mike Wren. Bethany Boschert is the firm's Chief Compliance Officer and is responsible for overseeing and enforcing the firm's regulatory compliance programs. Either may be contacted by phone at (913) 403-0600.

Part 2B of Form ADV: Firm Brochure Supplement
Jeffrey D. Hrabe

Item 1 Cover Page

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Website: <http://www.legacykc.com>

Email Address:

Jeffrey D. Hrabe: jeff.hrabe@legacykc.com

Date: February 4, 2026

This brochure supplement provides information about Jeffrey D. Hrabe that supplements the Legacy Financial Strategies, LLC brochure. You should have received a copy of that brochure. Please contact us at (913) 403-0600 or one of the email addresses listed above if you did not receive Legacy Financial Strategies, LLC’s brochure or if you have any questions about the contents of this supplement.

Additional information about Jeffrey D. Hrabe also is available on the Securities and Exchange Commission’s website at www.adviserinfo.sec.gov.

Item 2 Educational Background and Business Experience

Formal Education and Business Background

Jeffrey D. Hrabe earned a Bachelor of Science degree in Education from the University of Kansas in 2004. He attended Washburn University from 1999 to 2001. Before joining Legacy Financial Strategies, he worked as a Business Development Officer for Cavanal Hill Distributors from 2017 to 2021 and Cavanal Hill Investment Management from 2013 to 2021. He was Vice President, Mutual Fund External Wholesaler for BOK Financial Securities, Inc./BOKF, NA from 2013 to 2016. Prior to that he was associated with Ivy Funds Distributor from 2012 to 2013 and Waddell & Reed from 2006 to 2013.

Jeffrey Hrabe’s birth year is 1981.

Item 3 Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding certain legal or disciplinary events that would be material to the evaluation of an investment adviser representative. Mr. Hrabe is not currently subject to, nor has ever been subject to, any legal or disciplinary events of this nature.

Item 4 Other Business Activities

Jeffrey Hrabe does not participate in any other financial industry activities and has no other financial industry affiliations.

Item 5 Additional Compensation

Incoming Referrals

Legacy has no solicitation agreements in place at this time. They do however accept client and professional referrals on a non-compensatory basis.

Referrals Out

Legacy does not receive any compensation for any outside client referrals, including referrals made to estate planners, attorneys and/or tax preparation places.

Legacy Bonus Program

Jeffrey is eligible to participate in the firm's bonus program which provides incentive compensation and benefits to the firm's investment adviser representative based on the amount of new business generated by the representative. Jeffrey may also be compensated for performing advisory services on qualified retirement plans.

Other Compensation

Legacy and its employees may receive additional non-cash compensation from advisory product sponsors. Such compensation may not be tied to the sales of any products. Compensation may include such items as gifts valued at less than \$300 annually, an occasional dinner or ticket to a sporting event, or reimbursement in connection with educational meetings or marketing or advertising initiatives. Advisory product sponsors may also pay for education or training events that may be attended by Legacy's employees or its clients.

Item 6 Supervision

Jeffrey Hrabe is currently supervised by Mike Wren. Bethany Boschert is the firm's Chief Compliance Officer and is responsible for overseeing and enforcing the firm's regulatory compliance programs. Either may be contacted by phone at (913) 403-0600.

Part 2B of Form ADV: Firm Brochure Supplement
Brooklyn R. Maldonado

Item 1 Cover Page

Legacy Financial Strategies, LLC (“Legacy”)

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Fax: (913) 403-0700

Website: <http://www.legacykc.com>

Email Address:
Brooklyn R. Maldonado:

brooklyn.maldonado@legacykc.com

Date: February 4, 2026

This brochure supplement provides information about Brooklyn R. Maldonado that supplements the Legacy Financial Strategies, LLC brochure. You should have received a copy of that brochure. Please contact us at (913) 403-0600 or one of the email addresses listed above if you did not receive Legacy Financial Strategies, LLC’s brochure or if you have any questions about the contents of this supplement.

Additional information about Brooklyn R. Maldonado also is available on the Securities and Exchange Commission’s website at www.adviserinfo.sec.gov.

Item 2 Educational Background and Business Experience

Formal Education and Business Background

Brooklyn R. Maldonado graduated from the University of Missouri - Kansas City in 2021 with Bachelor of Business Administration with an emphasis in Finance. She is a Financial Planner for the firm. Before joining Legacy Financial Strategies, she was a Financial Planner for Stepp & Rothwell, Inc. She held several positions during her four years at Stepp & Rothwell and offered investment advice and financial planning guidance to her clients.

Brooklyn’s birth year is 2000.

Certified Financial Planner™ (CFP®) Designation

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the

competent and professional delivery of financial planning services, and attain a Bachelor's Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board's financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;

- Examination – Pass the comprehensive CFP® Certification Examination. The examination, administered in two 3-hour sessions separated by a 40-minute break, includes case studies and client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real world circumstances;
- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics – Agree to be bound by CFP Board's Standards of Professional Conduct, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education – Complete 30 hours of continuing education hours every two years, including two hours on the Code of Ethics and other parts of the Standards of Professional Conduct, to maintain competence and keep up with developments in the financial planning field; and
- Ethics – Renew an agreement to be bound by the Standards of Professional Conduct. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

Item 3 Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding certain legal or disciplinary events that would be material to the evaluation of an investment adviser representative. Ms. Maldonado is not currently subject to, nor has ever been subject to, any legal or disciplinary events of this nature.

Item 4 Other Business Activities

Brooklyn Maldonado does not participate in any other financial industry activities and has no other financial industry affiliations.

Item 5 Additional Compensation

Incoming Referrals

Legacy has no solicitation agreements in place at this time. They do however accept client and professional referrals on a non-compensatory basis.

Referrals Out

Legacy does not receive any compensation for any outside client referrals, including referrals made to estate planners, attorneys and/or tax preparation places.

Legacy Bonus Program

Brooklyn is eligible to participate in the firm's bonus program which provides incentive compensation and benefits to the firm's investment adviser representative based on the amount of new business generated by the representative. Brooklyn may also be compensated for performing advisory services on qualified retirement plans.

Other Compensation

Legacy and its employees may receive additional non-cash compensation from advisory product sponsors. Such compensation may not be tied to the sales of any products. Compensation may include such items as gifts valued at less than \$300 annually, an occasional dinner or ticket to a sporting event, or reimbursement in connection with educational meetings or marketing or advertising initiatives. Advisory product sponsors may also pay for education or training events that may be attended by Legacy's employees or its clients.

Item 6 Supervision

Brooklyn Maldonado is currently supervised by Mike Wren. Bethany Boschert is the firm's Chief Compliance Officer and is responsible for overseeing and enforcing the firm's regulatory compliance programs. Either may be contacted by phone at (913) 403-0600.

**Part 2B of Form ADV: Firm Brochure Supplement
Samuel L. Murray II**

Item 1 Cover Page

Legacy Financial Strategies, LLC (“Legacy”)

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Website: <http://www.legacykc.com>

Email Address:

Samuel L. Murray II: sam.murray@legacykc.com

February 4, 2026

This brochure supplement provides information about Samuel L. Murray II that supplements the Legacy Financial Strategies, LLC brochure. You should have received a copy of that brochure. Please contact us at (913) 403-0600 or one of the email addresses listed above if you did not receive Legacy Financial Strategies, LLC’s brochure or if you have any questions about the contents of this supplement.

Additional information about Samuel L. Murray II also is available on the Securities and Exchange Commission’s website at www.adviserinfo.sec.gov.

Item 2 Educational Background and Business Experience

Formal Education and Business Background

Samuel L. Murray II (“Sam”) has a Bachelor’s of Science degree in Sociology from Kansas State University, Manhattan, Kansas. Over the last 25 years Sam has been in sales helping businesses achieve their goals. During Sam’s 9-year tenure at Paychex Inc., he was the Senior Retirement Sales Specialist in charge of designing and implementing retirement plans for businesses in Kansas and Missouri. Most recently at Great Plains Trust Company, he was the Managing Director for the Northeast Region. In this capacity, he worked with plan sponsors and their participants located in the northeastern portion of the country. Sam carries the Accredited Investment Fiduciary (AIF®) designation.

Prior to his position with Paychex, Inc., Sam held sales executive positions with Midwest Equipment and Factory Motor Parts.

Sam’s birth year is 1969.

Accredited Investment Fiduciary (AIF®) Designation

Accredited Investment Fiduciary (AIF®) The AIF Designation certifies that the recipient has specialized knowledge of fiduciary standards of care and their application to the investment management process. To receive the AIF® Designation, the individual must meet prerequisite criteria based on a combination of education, industry experience, and/or ongoing professional development, complete a training program, successfully pass a comprehensive, closed-book final examination under the supervision of a proctor and agree to abide by the Code of Ethics and Code of Standards. In order to maintain the AIF Designation, the individual must annually attest to the Code of Ethics and Conduct Standards and

accrue and report a minimum of six hours of continuing education. The Designation is administered by the Center for Fiduciary Studies, the standards-setting body of fi360.

Item 3 Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding certain legal or disciplinary events that would be material to the evaluation of an investment adviser representative. Mr. Murray is not currently subject to, nor has ever been subject to, any legal or disciplinary events of this nature.

Item 4 Other Business Activities

Samuel L. Murray II does not participate in any other financial industry activities and has no other financial industry affiliations.

Item 5 Additional Compensation

Incoming Referrals

Legacy has no solicitation agreements in place at this time. They do however accept client and professional referrals on a non-compensatory basis.

Referrals Out

Legacy does not receive any compensation for any outside client referrals, including referrals made to estate planners, attorneys and/or tax preparation places.

Legacy Bonus Program

Samuel is eligible to participate in the firm's bonus program which provides incentive compensation and benefits to the firm's investment adviser representative based on the amount of new business generated by the representative. Samuel may be compensated for performing advisory services and support on qualified retirement plans. He may also be compensated for advisory retirement plan business or performing investment consultation services for groups or individuals.

Other Compensation

Legacy and its employees may receive additional non-cash compensation from advisory product sponsors. Such compensation may not be tied to the sales of any products. Compensation may include such items as gifts valued at less than \$300 annually, an occasional dinner or ticket to a sporting event, or reimbursement in connection with educational meetings or marketing or advertising initiatives. Advisory product sponsors may also pay for education or training events that may be attended by Legacy's employees or its clients.

Item 6 Supervision

Samuel L. Murray II is currently supervised by Mike Wren. Bethany Boschert is the firm's Chief Compliance Officer and is responsible for overseeing and enforcing the firm's regulatory compliance programs. Either may be contacted by phone at (913) 403-0600.

Part 2B of Form ADV: Firm Brochure Supplement
Joseph K. Nafziger

Item 1 Cover Page

Legacy Financial Strategies, LLC (“Legacy”)

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Email Address:
Joseph K. Nafziger:

joseph.nafziger@legacykc.com

Date: February 4, 2026

This brochure supplement provides information about Joseph K. Nafziger that supplements the Legacy Financial Strategies, LLC brochure. You should have received a copy of that brochure. Please contact us at (913) 403-0600 or one of the email addresses listed above if you did not receive Legacy Financial Strategies, LLC’s brochure or if you have any questions about the contents of this supplement.

Additional information about Joseph K. Nafziger also is available on the Securities and Exchange Commission’s website at www.adviserinfo.sec.gov.

Item 2 Educational Background and Business Experience

Formal Education and Business Background

Joseph K. Nafziger holds a Bachelor of Science degree in Personal Financial Planning from Kansas State University. Before joining Legacy Financial Strategies, he worked as a Client Associate at Merrill Lynch, Pierce, Fenner & Smith. During his three years at Merrill Lynch, he offered investment advice and financial planning guidance to his clients. Mr. Nafziger worked as a summer intern at Advisor’s Excel in 2017.

Joseph’s birth year is 1994.

Item 3 Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding certain legal or disciplinary events that would be material to the evaluation of an investment adviser representative. Mr. Nafziger is not currently subject to, nor has ever been subject to, any legal or disciplinary events of this nature.

Item 4 Other Business Activities

Joseph Nafziger does not participate in any other financial industry activities and has no other financial industry affiliations.

Community Activities

Mr. Nafziger is President Elect of the Financial Planning Association of Greater Kansas City. This organization provides its membership of local financial planning professionals a forum for education and career development. Its mission is to serve members by providing educational, networking, and mentoring opportunities. They also promote the value of financial planning to the public and represent professional interests to governmental groups. More information on the organization can be found at www.fpakc.org. The FPA is a non-profit organization that has chapters throughout the country.

Item 5 Additional Compensation

Incoming Referrals

Legacy has no solicitation agreements in place at this time. They do however accept client and professional referrals on a non-compensatory basis.

Referrals Out

Legacy does not receive any compensation for any outside client referrals, including referrals made to estate planners, attorneys and/or tax preparation places.

Legacy Bonus Program

Joseph is eligible to participate in the firm's bonus program which provides incentive compensation and benefits to the firm's investment adviser representative based on the amount of new business generated by the representative. Joseph may also be compensated for performing advisory services on qualified retirement plans.

Other Compensation

Legacy and its employees may receive additional non-cash compensation from advisory product sponsors. Such compensation may not be tied to the sales of any products. Compensation may include such items as gifts valued at less than \$300 annually, an occasional dinner or ticket to a sporting event, or reimbursement in connection with educational meetings or marketing or advertising initiatives. Advisory product sponsors may also pay for education or training events that may be attended by Legacy's employees or its clients.

Item 6 Supervision

Joseph Nafziger is currently supervised by Mike Wren. Bethany Boschert is the firm's Chief Compliance Officer and is responsible for overseeing and enforcing the firm's regulatory compliance programs. Either may be contacted by phone at (913) 403-0600.

Part 2B of Form ADV: Firm Brochure Supplement
Christopher D. Proctor

Item 1 Cover Page

Legacy Financial Strategies, LLC (“Legacy”)
5400 College Blvd., Ste. A
Overland Park, KS 66211
Phone: (913) 403-0600
Fax: (913) 403-0700

Website: <http://www.legacykc.com>

Email Address:

Christopher D. Proctor: chris.proctor@legacykc.com

February 4, 2026

This brochure supplement provides information about Christopher D. Proctor that supplements the Legacy Financial Strategies, LLC brochure. You should have received a copy of that brochure. Please contact us at (913) 403-0600 or one of the email addresses listed above if you did not receive Legacy Financial Strategies, LLC’s brochure or if you have any questions about the contents of this supplement.

Additional information about Christopher D. Proctor is also available on the Securities and Exchange Commission’s website at www.adviserinfo.sec.gov.

Item 2 Educational Background and Business Experience

Formal Education and Business Background

Christopher Proctor has a Bachelor of Science degree in Business Administration, majoring in Accounting from Kansas State University. From 2008-2018, he served as a consultant to investment professionals as a Senior Regional Vice President with New York Life/MainStay Investments. Chris was employed by ADP Retirement Services as a 401(k) District Manager from 2003-2008, by Morgan Stanley Inc. as a financial advisor from 2002-2003 and worked in corporate finance and as a retirement education consultant for American Century Investment Services Inc. from 1998-2001.

Chris now serves as Chief Financial Officer, Chief Investment Officer and Financial Advisor for Legacy Financial Strategies, LLC.

Chris’ birth year is 1973.

Item 3 Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding certain legal or disciplinary events that would be material to the evaluation of an investment adviser representative. Mr. Proctor is not currently subject to, nor has ever been subject to, any legal or disciplinary events of this nature.

Item 4 Other Business Activities

Christopher Proctor does not participate in any other financial industry activities and has no other financial industry affiliations.

Item 5 Additional Compensation

Incoming Referrals

Legacy has no solicitation agreements in place at this time. They do however accept client and professional referrals on a non-compensatory basis.

Referrals Out

Legacy does not receive any compensation for any outside client referrals, including referrals made to estate planners, attorneys and/or tax preparation places.

Legacy Bonus Program

Chris is eligible to participate in the firm's bonus program which provides incentive compensation and benefits to the firm's investment adviser representative based on the amount of new business generated by the representative. Chris may be compensated for performing advisory services on qualified retirement plans or as a consultant for groups or individuals.

Other Compensation

Legacy and its employees may receive additional non-cash compensation from advisory product sponsors. Such compensation may not be tied to the sales of any products. Compensation may include such items as gifts valued at less than \$300 annually, an occasional dinner or ticket to a sporting event, or reimbursement in connection with educational meetings or marketing or advertising initiatives. Advisory product sponsors may also pay for education or training events that may be attended by Legacy's employees or its clients.

Item 6 Supervision

Christopher Proctor is currently supervised by Mike Wren. Bethany Boschert is the firm's Chief Compliance Officer and is responsible for overseeing and enforcing the firm's regulatory compliance programs. Either may be contacted by phone at (913) 403-0600.

Part 2B of Form ADV: Firm Brochure Supplement
Andrew D. Rogers
Item 1 Cover Page

Legacy Financial Strategies, LLC (“Legacy”)

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Fax: (913) 403-0700

Website: <http://www.legacykc.com>

Email Address:

Andrew D. Rogers: andrew.rogers@legacykc.com

February 4, 2026

This brochure supplement provides information about Andrew D. Rogers that supplements the Legacy Financial Strategies, LLC brochure. You should have received a copy of that brochure. Please contact us at (913) 403-0600 or one of the email addresses listed above if you did not receive Legacy Financial Strategies, LLC’s brochure or if you have any questions about the contents of this supplement.

Additional information about Andrew D. Rogers also is available on the Securities and Exchange Commission’s website at www.adviserinfo.sec.gov.

Item 2 Educational Background and Business Experience

Formal Education and Business Background

Andrew D. Rogers holds a Bachelor in Business degree with an emphasis in Finance from The University of Missouri Kansas City. His experience in finance began in banking in 2009. He joined Tower Wealth Managers as an Independent Advisor Representative in 2012 and then moved to Waddell & Reed as a financial advisor in 2015. Prior to joining Legacy Financial Strategies, he created comprehensive financial plans for his clients as an advisor with LPL Financial.

Andrew is a Certified Financial Planner™ (CFP®) professional. Andrew Rogers’ year of birth is 1988.

Certified Financial Planner™ (CFP®) Designation

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the

competent and professional delivery of financial planning services, and attain a Bachelor's Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board's financial planning subject areas include insurance planning, financial management, investment planning, tax planning, retirement planning, and estate planning;

- Examination – Pass the comprehensive CFP® Certification Examination. The 6 hour examination includes case studies and client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real world circumstances;
- Experience – Complete at least 6,000 hours of professional planning experience (or 4,000 hours of apprenticeship experience) ; and
- Ethics – Agree to be bound by CFP Board's Code of Ethics and Standards of Conduct, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education – Complete periodic continuing education coursework to maintain competence and keep up with developments in the financial planning field; and
- Ethics – Renew an agreement to be bound by the Code of Ethics and Standards of Conduct. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

Item 3 Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding certain legal or disciplinary events that would be material to the evaluation of an investment adviser representative. Mr. Rogers is not currently subject to, nor has ever been subject to, any legal or disciplinary events of this nature.

Item 4 Other Business Activities

Andrew Rogers does not participate in any other financial industry activities and has no other financial industry affiliations.

Item 5 Additional Compensation

Incoming Referrals

Legacy has no solicitation agreements in place at this time. They do however accept client and professional referrals on a non-compensatory basis.

Referrals Out

Legacy does not receive any compensation for any outside client referrals, including referrals made to estate planners, attorneys and/or tax preparation places.

Legacy Bonus Program

Andrew is eligible to participate in the firm's bonus program which provides incentive compensation and benefits to the firm's investment adviser representative based on the amount of new business generated by the representative. Andrew may also be compensated for performing advisory services on qualified retirement plans.

Other Compensation

Legacy and its employees may receive additional non-cash compensation from advisory product sponsors. Such compensation may not be tied to the sales of any products. Compensation may include such items as gifts valued at less than \$300 annually, an occasional dinner or ticket to a sporting event, or reimbursement in connection with educational meetings or marketing or advertising initiatives. Advisory product sponsors may also pay for education or training events that may be attended by Legacy's employees or its clients.

Item 6 Supervision

Andrew is currently supervised by Mike Wren. Bethany Boschert is the firm's Chief Compliance Officer and is responsible for overseeing and enforcing the firm's regulatory compliance programs. Either may be contacted by phone at (913) 403-0600.

Part 2B of Form ADV: Firm Brochure Supplement
Jacob Watts

Item 1 Cover Page

Legacy Financial Strategies, LLC (“Legacy”)

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Website: <http://www.legacykc.com>

Email Address:
Jacob Watts: Jacob.watts@legacykc.com

February 4, 2026

This brochure supplement provides information about Jacob Watts that supplements the Legacy Financial Strategies, LLC brochure. You should have received a copy of that brochure. Please contact us at (913) 403-0600 or one of the email addresses listed above if you did not receive Legacy Financial Strategies, LLC’s brochure or if you have any questions about the contents of this supplement.

Additional information about Jacob Watts also is available on the Securities and Exchange Commission’s website at www.adviserinfo.sec.gov.

Item 2 Educational Background and Business Experience

Formal Education and Business Background

Jacob Watts has a Bachelor’s of Arts in Communication Studies degree from the University Kansas. Prior to joining Legacy, Jacob worked for Ameriprise Financial Services, Inc. as an advisor where he provided asset management and financial planning services to clients. In 2006, he joined First Investors Financial Services as a registered representative, where he spent a number of years growing his client base and assisting in building the branch office.

Jacob’s professional designations include Certified Financial Planner™ (CFP®), CRPC® (Chartered Retirement Planning Counselor) and APMA® (Accredited Portfolio Management Advisor).

Jacob’s birth year is 1981.

Certified Financial Planner™ (CFP®) Designation

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the

competent and professional delivery of financial planning services, and attain a Bachelor's Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board's financial planning subject areas include insurance planning, financial management, investment planning, tax planning, retirement planning, and estate planning;

- Examination – Pass the comprehensive CFP® Certification Examination. The 6 hour examination includes case studies and client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real world circumstances;
- Experience – Complete at least 6,000 hours of professional planning experience (or 4,000 hours of apprenticeship experience) ; and
- Ethics – Agree to be bound by CFP Board's Code of Ethics and Standards of Conduct, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education – Complete periodic continuing education coursework to maintain competence and keep up with developments in the financial planning field; and
- Ethics – Renew an agreement to be bound by the Code of Ethics and Standards of Conduct. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

Chartered Retirement Planning Counselor (CRPC®) Designation

The CRPC is a professional designation awarded by the College for Financial Planning to individuals who complete a study program and pass a final multiple-choice examination. Successful applicants earn the right to use the CRPC® designation with their names for two years. Every two years, CRPC® professionals must complete 16 hours of continuing education and pay a small fee to continue using the designation. The CRPC® Program focuses on the pre- and post-retirement needs of individuals, and addresses issues such as estate planning and asset management. CRPC® candidates must comply with the Code of Ethics, which includes agreeing to abide by the Standards Exhibit A-3 of Professional Conduct and Terms and Conditions. Candidates must also disclose any criminal, civil, self-regulatory organization, or governmental agency inquiry, investigation, or proceeding relating to their professional or business conduct. Conferment of the designation is contingent upon the College for Financial Planning's review of matters either self-disclosed or which are discovered by the College that are required to be disclosed.

Accredited Portfolio Management Advisor (APMA®) Designation

Individuals who hold the APMA® designation have completed a course of study encompassing client assessment and suitability, risk/return, investment objectives, bond and equity portfolios, modern portfolio theory and investor psychology. Students have hands-on practice in analyzing investment policy statements, building portfolios, and making asset allocation decisions including sell, hold, and buy decisions within a client's portfolio. Additionally, individuals must pass an end-of-course examination that tests their ability to synthesize complex concepts and apply theoretical concepts to real-life situations. All designees have agreed to adhere to Standards of Professional Conduct and are subject to a disciplinary process.

Item 3 Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding certain legal or disciplinary events that would be material to the evaluation of an investment adviser representative. Mr. Watts is not currently subject to, nor has ever been subject to, any legal or disciplinary events of this nature.

Item 4 Other Business Activities

Jacob Watts does not participate in any other financial industry activities and has no other financial industry affiliations.

Item 5 Additional Compensation

Incoming Referrals

Legacy has no solicitation agreements in place at this time. They do however accept client and professional referrals on a non-compensatory basis.

Referrals Out

Legacy does not receive any compensation for any outside client referrals, including referrals made to estate planners, attorneys and/or tax preparation places.

Legacy Bonus Program

Jacob is eligible to participate in the firm's bonus program which provides incentive compensation and benefits to the firm's investment adviser representative based on the amount of new business generated by the representative.

Other Compensation

Legacy and its employees may receive additional non-cash compensation from advisory product sponsors. Such compensation may not be tied to the sales of any products. Compensation may include such items as gifts valued at less than \$300 annually, an occasional dinner or ticket to a sporting event, or reimbursement in connection with educational meetings or marketing or advertising initiatives. Advisory product sponsors may also pay for education or training events that may be attended by Legacy's employees or its clients.

Item 6 Supervision

Jacob is currently supervised by Mike Wren. Bethany Boschert is the firm's Chief Compliance Officer and is responsible for overseeing and enforcing the firm's regulatory compliance programs. Either may be contacted by phone at (913) 403-0600.

Part 2B of Form ADV: Firm Brochure Supplement
Michael V. Wren

Item 1 Cover Page

Legacy Financial Strategies, LLC (“Legacy”)

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Phone: (913) 403-0600
Fax: (913) 403-0700

Website: <http://www.legacykc.com>

Email Address:

Michael V. Wren: mike.wren@legacykc.com

February 4, 2026

This brochure supplement provides information about Michael V. Wren that supplements the Legacy Financial Strategies, LLC brochure. You should have received a copy of that brochure. Please contact us at (913) 403-0600 or one of the email addresses listed above if you did not receive Legacy Financial Strategies, LLC’s brochure or if you have any questions about the contents of this supplement.

Additional information about Michael V. Wren also is available on the Securities and Exchange Commission’s website at www.adviserinfo.sec.gov.

Item 2 Educational Background and Business Experience

Formal Education and Business Background

Michael V. Wren holds a Bachelor of Arts degree in Social and Behavioral Science from Seton Hall University in South Orange, New Jersey. Before joining Legacy Financial Strategies, he worked as a Financial Advisor at Ameriprise Financial. During his six years at Ameriprise, he offered investment advice and financial planning guidance to his clients. Previous to Ameriprise, he worked as both an advisor and manager at First Investors Corporation in New York and Kansas. In addition to client advisory responsibilities, he was also a manager. He trained and supervised other representatives, as well as recruited and hired new employees. He is a Certified Financial Planner™ (CFP®) professional and holds a Certified Divorce Financial Analyst® (CDFA®) designation.

Michael Wren’s birth year is 1979.

Certified Financial Planner™ (CFP®) Designation

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the

competent and professional delivery of financial planning services, and attain a Bachelor's Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board's financial planning subject areas include insurance planning, financial management, investment planning, tax planning, retirement planning, and estate planning;

- Examination – Pass the comprehensive CFP® Certification Examination. The 6 hour examination includes case studies and client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real world circumstances;
- Experience – Complete at least 6,000 hours of professional planning experience (or 4,000 hours of apprenticeship experience) ; and
- Ethics – Agree to be bound by CFP Board's Code of Ethics and Standards of Conduct, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education – Complete periodic continuing education coursework to maintain competence and keep up with developments in the financial planning field; and
- Ethics – Renew an agreement to be bound by the Code of Ethics and Standards of Conduct. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

Certified Divorce Financial Analyst® (CDFA®) Designation

The Certified Divorce Financial Analyst®, (CDFA®) is a professional certification granted in the United States and Canada by the Institute for Divorce Financial Analysts™ (IDFA™). To attain the right to use the CDFA® (Certified Divorce Financial Analyst®) certification, an individual must satisfactorily fulfill the following requirements:

- Education – Professionals must develop their theoretical understanding and knowledge of the financial aspects of divorce by completing a comprehensive course of study approved by the IDFA™;
- Examination – Practitioners must pass a four-part (in the USA) or three-part (in Canada) Certification Examination that tests their understanding and knowledge of the financial aspects of divorce. In addition, the practitioner must demonstrate the practical application of this knowledge in the divorce process;
- Experience – Individuals must have a minimum of three years' experience in a financial or legal capacity prior to earning the right to use the CDFA® certification mark; and
- Ethics – Practitioners agree to abide by a strict code of professional conduct known as the "Code of Ethics and Professional Responsibility," which sets forth their ethical responsibilities to the public, clients, employers and other professionals. The IDFA™ may perform a background check during this process, and each candidate for CDFA® certification must disclose any investigations or legal proceedings relating to his or her professional or business conduct.

Individuals who become certified must complete the following ongoing education requirements in order to maintain the right to continue to use the CDFA® designation:

- Continuing Education – Complete a minimum of fifteen (15) hours of continuing education every two years, that are specifically related to the field of divorce, and
- Ethics – Practitioners must voluntarily disclose any public, civil, criminal, or disciplinary actions that may have been taken against them during the past two years as part of the renewal process.

If a complaint has been brought against a CDFA® by another professional or member of the general public, the CDFA® must be examined and cleared by IDFA's Ethics Committee to maintain their designation.

Item 3 Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding certain legal or disciplinary events that would be material to the evaluation of an investment adviser representative. Mr. Wren is not currently subject to, nor has ever been subject to, any legal or disciplinary events of this nature.

Item 4 Other Business Activities

Michael Wren is a registered investment advisor representative. In addition to investment advisory services, he provides financial planning services.

Item 5 Additional Compensation

Incoming Referrals

Legacy has no solicitation agreements in place at this time. They do however accept client and professional referrals on a non-compensatory basis.

Referrals Out

Legacy does not receive any compensation for any outside client referrals, including referrals made to estate planners, attorneys and/or tax preparation places.

Legacy Bonus Program

Mike is eligible to participate in the firm's bonus program which provides incentive compensation and benefits to the firm's investment adviser representative based on the amount of new business generated by the representative. Mike may also be compensated for performing advisory services on qualified retirement plans.

Other Compensation

Legacy and its employees may receive additional non-cash compensation from advisory product sponsors. Such compensation may not be tied to the sales of any products. Compensation may include such items as gifts valued at less than \$300 annually, an occasional dinner or ticket to a sporting event, or reimbursement in connection with educational meetings or marketing or advertising initiatives. Advisory product sponsors may also pay for education or training events that may be attended by Legacy's employees or its clients.

Item 6 Supervision

Mike is the firm's controlling owner and consequently does not report to a supervisor. Bethany Boschert is the firm's Chief Compliance Officer and is responsible for overseeing and enforcing the firm's regulatory compliance programs. Either may be contacted by phone at (913) 403-0600.